## JC03 Rec'd PCT/PTO 1 9 SEP 2009

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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#### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER ÚRQU.P-019

PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/GB2004/000878 March 3, 2004 March 20, 2003 TITLE OF INVENTION A Containment Device APPLICANT(S) FOR DO/EO/US Caroen et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. X The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information:

# JC20 Rec'd PCT/PTO 19 SEP 2005

PTO-1390 (Rev. 02-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICAT	% 5495	37 CFR 1.5)	INTERNATIONAL APP PCT/GB2004/0		ATTORNEY'S DOCKET NUMBER URQU.P-019		
The follo	owing fees have been	submitted			CALCULATIONS	PTO USE ONLY	
21. X Bas	sic national fee			\$300.00	\$300.00		
If International prelin PCT Article 33(1) All other situations .  23. X Sea	-(4)		JSPTO and all claims satisfy pr	\$100.00 \$200.00	\$200.00		
International International Search	Searching Authority . Report prepared and	provided tot he C	national application to the USP	\$100.00 \$400.00	\$400.00		
	TOTAL OF 21, 22 a	nd 23 =			\$900.00		
listing or com		led in an electron	paper over 100 sheets (excluding ic medium). The fee is \$250 for				
Total Sheets	Extra Sheets		h additional 50 or fraction up to a whole number)	RATE			
30 -100 =	0 /50 =		0	× \$250.00	<sup>°</sup> \$0.00		
Surcharge of \$130.0 priority date (37 CFF	00 for furnishing the oa R 1.492 (h)).	th or declaration	later than 30 months from the e	earliest claimed	\$0.00		
CLAIMS	NUMBE	R FILED	NUMBER EXTRA	RATE	\$		
Total claims	2	3 - 20 =	3	X \$50.00	\$150.00		
Independent claims		4 -3=	1	X \$200.00	\$200.00		
MULTIPLE DEPENI	DENT CLAIM(S) (if ap	plicable)	TOTAL OF ABOVE C	+ \$360.00	\$0.00 \$1,250.00	<b></b>	
Applicant of	aime emall entity etatu	s See 37 CER 1	.27. The fees indicated above	· · ·	\$1,250.00	<del>                                     </del>	
1/2.	anna aman chuty state	3. Dec 37 OF K	.27. The lees indicated above	are reduced by	\$1,200.00		
		·		SUBTOTAL =	\$1,250.00	L	
	\$130.00 for furnishir iority date (37 CFR.		anslation later than 30 mont	hs from the +	\$0.00		
-			TOTAL NA	TIONAL FEE =	\$1,250.00		
			.21 (h)). The assignment mi 3.28, 3.31). <b>\$40.00</b> per prop		\$0.00		
			TOTAL FEES	ENCLOSED =	\$1,250.00		
				,	Amount to be refunded:	\$	
-				, .	Amount to be charged:	\$	
a. A check i	n the amount of \$		to cover the above fee	es is enclosed.	· · ·		
b. Please c A duplica	harge my Deposit A ate copy of this shee	ccount No t is enclosed.	in the amour	nt of \$	to cover the above	fees.	
			harge any additional fees why of this sheet is enclosed.	nich may be required	d, or credit any overpaym	ent to Deposit	
			RNING: Information on this dit card information and auth			mation should	
			FR 1.495 has not been met to pending status.	, a petition to reviv	re (37 CFŘ 1.137(a) or (b	)) must be filed	
SEND ALL CORRES	SPONDENCE TO:		4	Nauna I	dars!		
21121			Λ.	SIGNATURE Marina T. Larson, P	 Ph D		
			<del>!\</del>	NAME			
		•	3	2038 REGISTRATION	NUMBER		

Electronic Patent Application Fee Transmittal						
Application Number:	10	549551				
Filing Date:						
Title of Invention:	Co	ntainment device				
First Named Inventor:	Adrian Barclay Caroen					
Filer:	Marina T. Larson/Lianna McCarthy					
Attorney Docket Number:	UF	RQU.P-019				
Filed as Large Entity						
Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Oath/decl > 30 months from priority date		1617	1	130	130	
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issurance:						
Extension-of-Time:						

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4	Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous	:				
		Total in USD (\$)		130	

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Electronic Acknowledgement Receipt				
EFS ID:	1000448			
Application Number:	10549551			
Confirmation Number:	3821			
Title of Invention:	Containment device			
First Named Inventor:	Adrian Barclay Caroen			
Customer Number:	21121			
Filer:	Marina T. Larson/Lianna McCarthy			
Filer Authorized By:	Marina T. Larson			
Attorney Docket Number:	URQU.P-019			
Receipt Date:	09-DEC-2005			
Filing Date:				
Time Stamp:	16:19:50			
Application Type:	U.S. National Stage under 35 USC 371			

# Payment information:

Submitted with Payment	yes			
Payment was successfully received in RAM				
RAM confirmation Number	12			

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part	Pages
1	Application Data Sheet	20050913_ApplicationDataS heet.pdf	78469	no	3

Warnings:					
This PDF is no	t a standard USPTO fillable form.			T	
2	Oath or Declaration filed	20051209_TransmittalLetter Declaration.pdf	194274	no	3
Warnings:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	6708	no	2
Warnings:					
		Total Files Size (in bytes):	2	79451	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.